

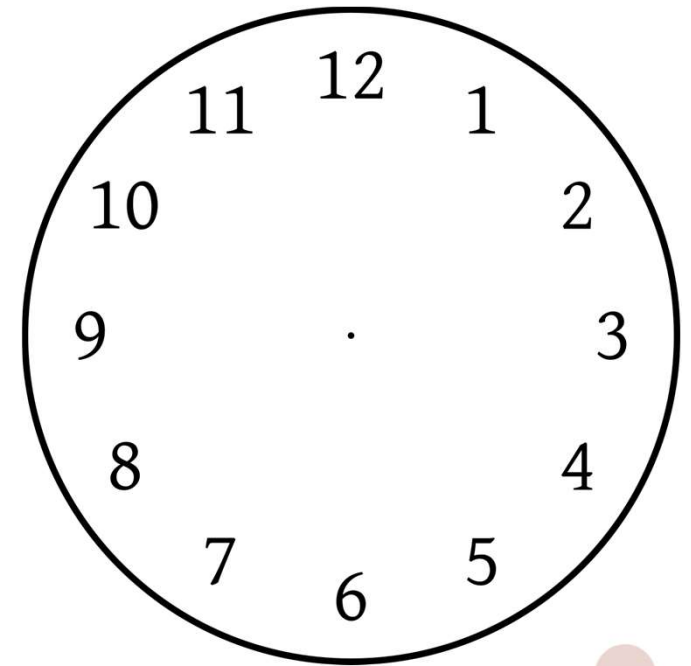


We will begin at 8:35 MMT

Try this problem while we wait...

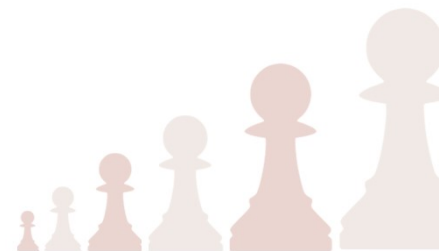
Draw a straight line through the surface of the clock given to the right so that sum of the numbers on each side of the line are equal.

If you replace  $1, 2, \dots, 12$  with  $1, 2, \dots, n$ , for which  $n$  can you draw such a line?





Record the meeting...



## Some Housekeeping

- ! Diamond problems for Problem Set 3 will be due tomorrow. Please submit by personal contact only.
- We already reached half-way point of the training. I hope you are half-way prepared for the IMO (although the nature of improvement may not be linear).





## Content so far...

L1: Monovariants

L2: Invariants

L3: Alternating-variants

I

L4: Inductive constructions

L5: Greedy and RUST

II

L6: Counting in two ways

L7: (Bonus) Polyhedron Formula

L8: (Bonus) Counting in graphs

III

→ L9: Injections and bijections

L10: Pigeonhole principle

L11: Continuity and descent

L12: Leveraging symmetry

IV

L13: Combinatorial games

V

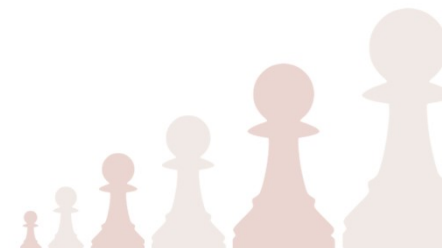
L14: Combinatorial geometry

VI

L15: Results in graph theory I

L16: Results in graph theory II

VII





Lecture – 9

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# Injectons and Bijections

## Subsets vs. Bit-strings

Consider the following two collections:

- Collection of all subsets of  $\{1, 2, 3, \dots, n\}$ .  $\leftarrow$  Call this collection  $\mathcal{A}$
- Collection of strings of length  $n$  consisting of 0s and 1s only (called bit-strings of length  $n$ ).  $\leftarrow$  Call this collection  $\mathcal{B}$

Well, they have the same size. Why?

Consider the function from  $\mathcal{A}$  to  $\mathcal{B}$  taking each subset  $S$  into a bit-string of length  $n$  as follows:

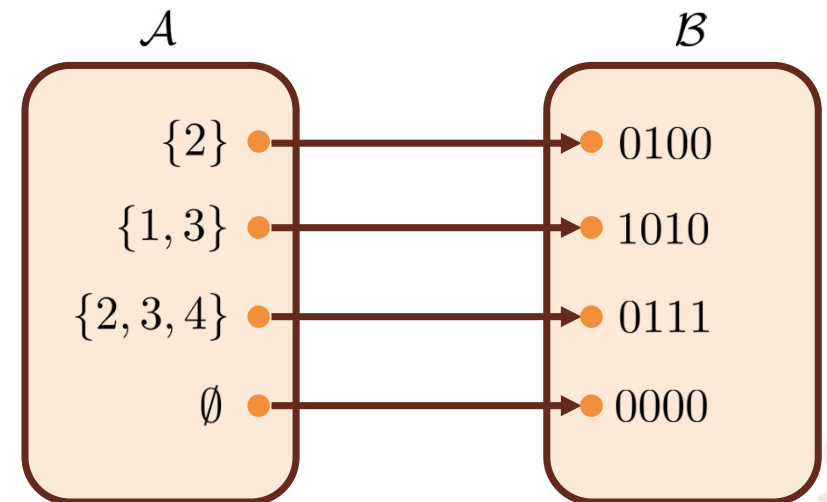
The  $k$ -th entry is 1 if  $k \in S$ .

The  $k$ -th entry is 0 if  $k \notin S$ .

Equal to  $2^n$ .

This function is bijection! So,  $|\mathcal{A}| = |\mathcal{B}|$ .

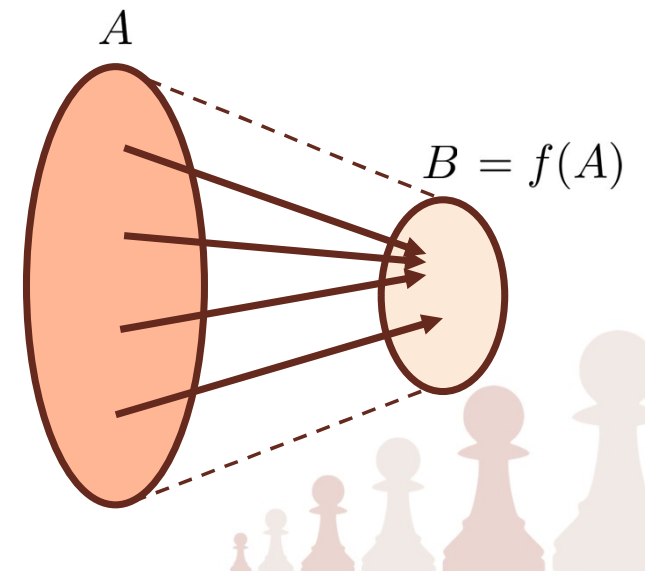
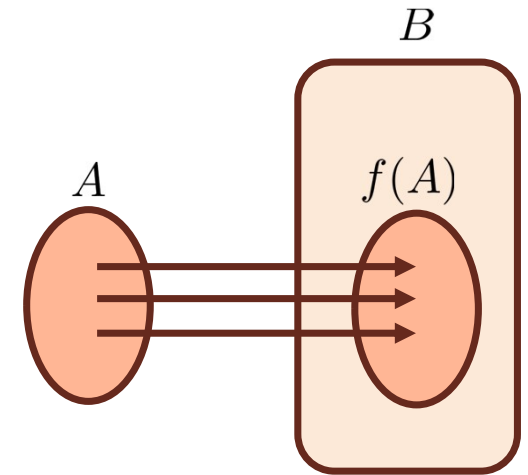
Some examples for  $n = 4$



# Injective, Surjective and Bijective

Let  $A$  and  $B$  be two **finite** sets and  $f : A \rightarrow B$  be a function.

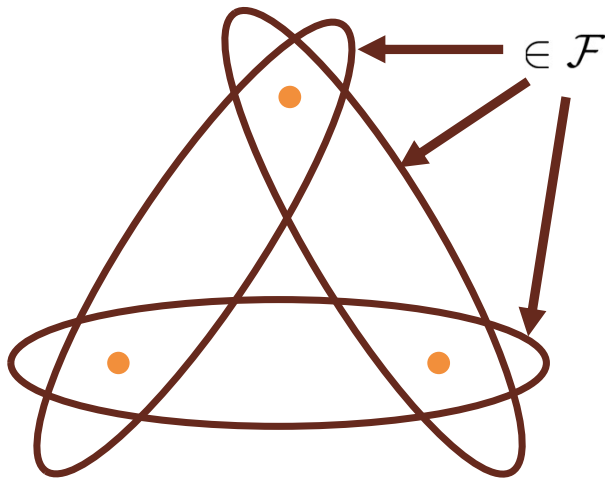
- **Injective:** If  $f(a) = f(b)$  implies  $a = b$ .
  - **Combinatorial view:** given an element in the range, we can uniquely recover its input.
  - **Consequence:**  $|A| \leq |B|$ .
- **Surjective:** For each  $y \in B$ , there is  $x \in A$  such that  $f(x) = y$ .
  - **Combinatorial view:** we can create any output we wish.
  - **Consequence:**  $|A| \geq |B|$ .
- **Bijective:** Both injective and surjective.  $\leftarrow$  If and only if  $f^{-1}$  exists.
  - **Consequence:**  $|A| = |B|$ .



## Intersecting Families

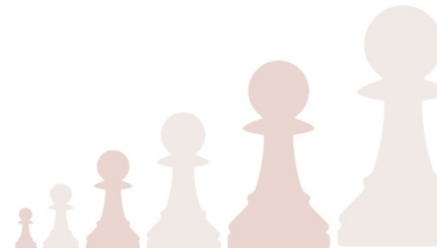
Let  $\mathcal{F}$  be the collection of (some) subsets of  $\{1, 2, 3, \dots, n\}$  such that any two sets in  $\mathcal{F}$  have non-empty intersection. What is the maximum size of  $|\mathcal{F}|$ ?

**Bit-string version:** Let  $\mathcal{F}$  be a set containing bit-strings of length  $n$  such that for any two strings  $s$  and  $t$  in  $\mathcal{F}$ , there is a positive integer  $1 \leq k \leq n$  such that the  $k$ -th bit of  $s$  and  $t$  is 1.



(OR)

$$\mathcal{F} = \{110, 011, 101\}$$








## Small Examples

- For  $n = 2$ , we have  $\emptyset, \{1\}, \{2\}, \{1, 2\}$ 
  - We can't put  $\emptyset$  in, and we should put  $\{1, 2\}$  in because it will never cause any problem. We can't have  $\{1\}$  and  $\{2\}$  in simultaneously.
  - So, max. we can select is 2.
- For  $n = 3$ , we have  $\emptyset, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\}, \{1, 2, 3\}$ 
  - We can't put  $\emptyset$  in, and we should put  $\{1, 2, 3\}$  in. We can't have the following pairs simultaneously.

$$\{1\} \longleftrightarrow \{2, 3\}$$

$$\{2\} \longleftrightarrow \{1, 3\}$$

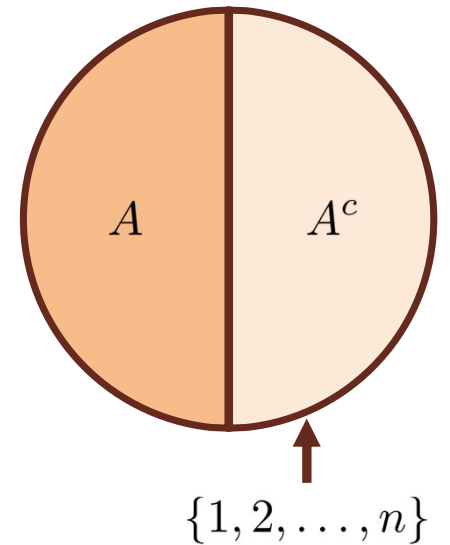
$$\{3\} \longleftrightarrow \{1, 2\}$$

- So, we cannot select more than 4.
- Can we select exactly 4?  Yes.

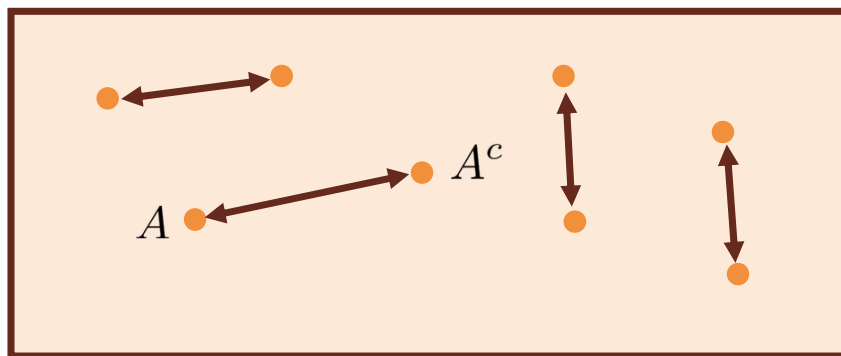


## Part One: Cannot be more than ...

- **Idea:** If  $\mathcal{F}$  contains a set  $A$ , is there another set that  $\mathcal{F}$  cannot contain?
- **Answer:** Yes. Complement of  $A$ .
- But,  $A$  and complement of  $A$  form a pairing in the set of all possible subsets. Thus,  $\mathcal{F}$  cannot have more than half of all possible subsets.



This shows that max size  $\leq 2^{n-1}$



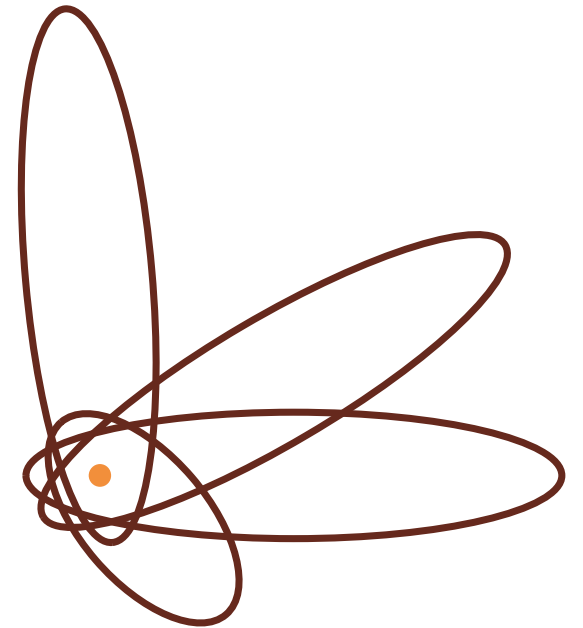
Collection of all  
subsets of  $\{1, 2, \dots, n\}$





## Part Two: Can construct ...

- Let us think of a way to construct  $\mathcal{F}$  so that it contains a lot of sets and satisfies the desired property.
- **Observation:** If all the sets in  $\mathcal{F}$  have a common element, then the desired property is satisfied.
- So, construct  $\mathcal{F}$  by choosing all the subsets that contain 1.
- How many sets does  $\mathcal{F}$  contain?  $\longleftarrow 2^{n-1}$
- So, the maximum possible size of  $\mathcal{F}$  is  $2^{n-1}$ .



# Involutions Induce Pairings

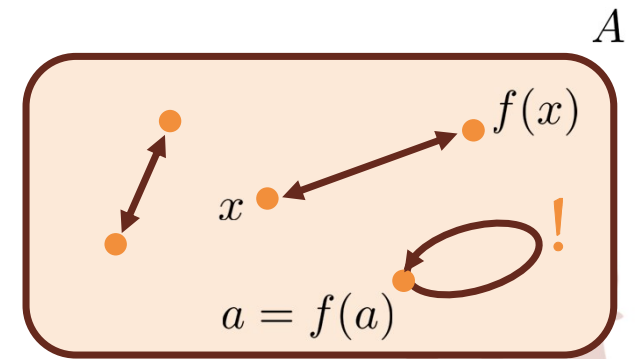
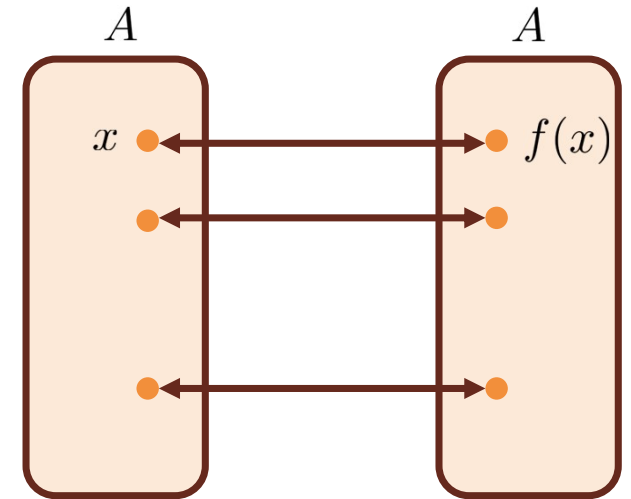
Let  $A$  be a set. Consider a function  $f : A \rightarrow A$  such that  $f(f(x)) = x$  for all  $x \in A$ . Such function  $f$  is called an **involution**.

This implies that  $f^{-1} = f$ .

In particular,  $f$  is bijective.

## Examples

- $A =$  set of all subsets of  $\{1, \dots, n\}$ .  $f$  is “taking complement”.
- $A =$  set of all bit-strings of length  $n$ .  $f$  is “Changing the first bit from 0 to 1 or from 1 to 0”.
- $A =$  set of all positive reals.  $f$  is “taking reciprocal”.







## Bijections vs. Alternating Sums

Let  $n > 100$  be an integer. Let's try to find the closed form of the sum:

$$\binom{n}{0} - \binom{n}{1} + \binom{n}{2} - \binom{n}{3} + \cdots - \binom{n}{99} + \binom{n}{100}.$$

As always, let's try small examples: replace 100 with 4 and  $n$  with 6.  **Tip: it is usually good to keep the parity in the problem.**

Positive terms:  $\binom{6}{0} + \binom{6}{2} + \binom{6}{4}$   Counts even subsets of size  $\leq 4$ .

Negative terms:  $\binom{6}{1} + \binom{6}{3}$   Counts odd subsets of size  $\leq 4$ .

So, we should “**pair up**” even and odd subsets of size  $\leq 4$  so that we cancel out many terms.



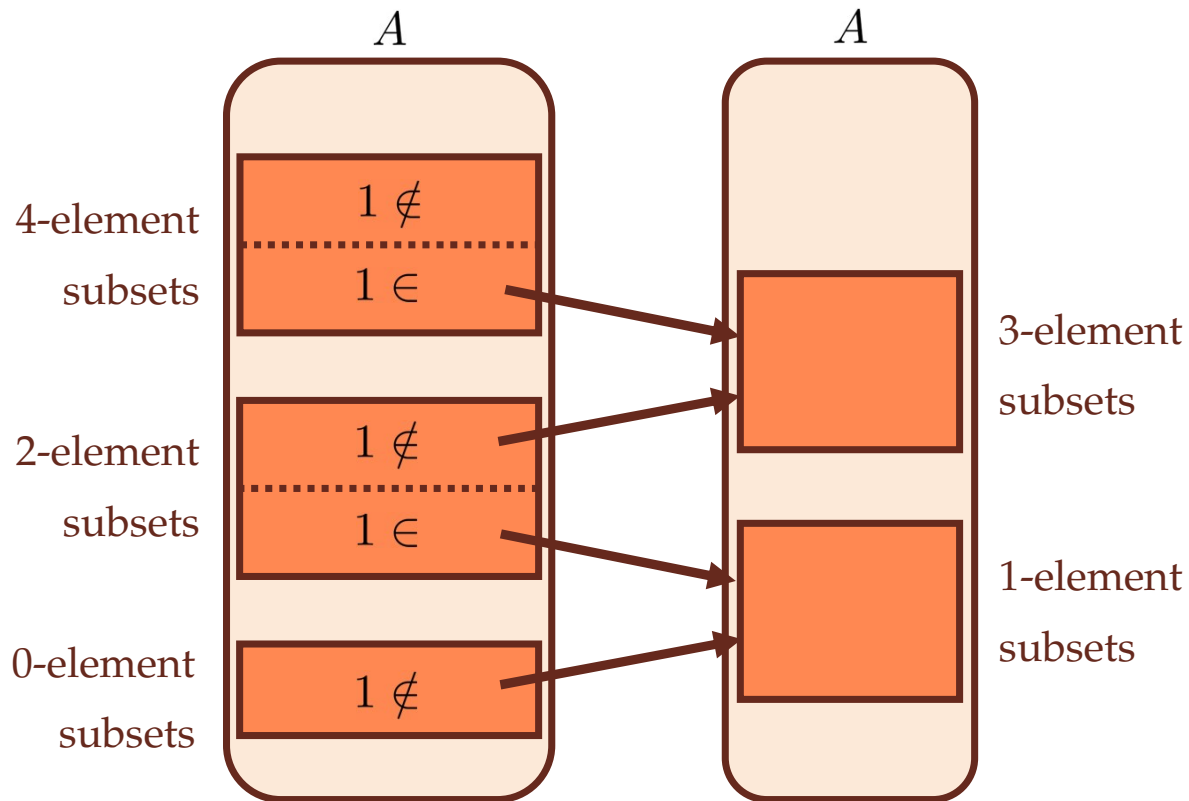
# Pairing Up

Let  $A$  be the set of all subsets of  $\{1, 2, 3, \dots, 6\}$ . Consider the involution: flipping the first bit.



If  $X \in A$  contains 1, remove it.

If  $X \in A$  doesn't contain 1, put it in.



By the picture to the left, we have

$$\binom{6}{0} + \binom{6}{2} + \binom{6}{4} - \binom{6}{1} - \binom{6}{3}$$

= number of 4-element subsets  
that doesn't contain 1

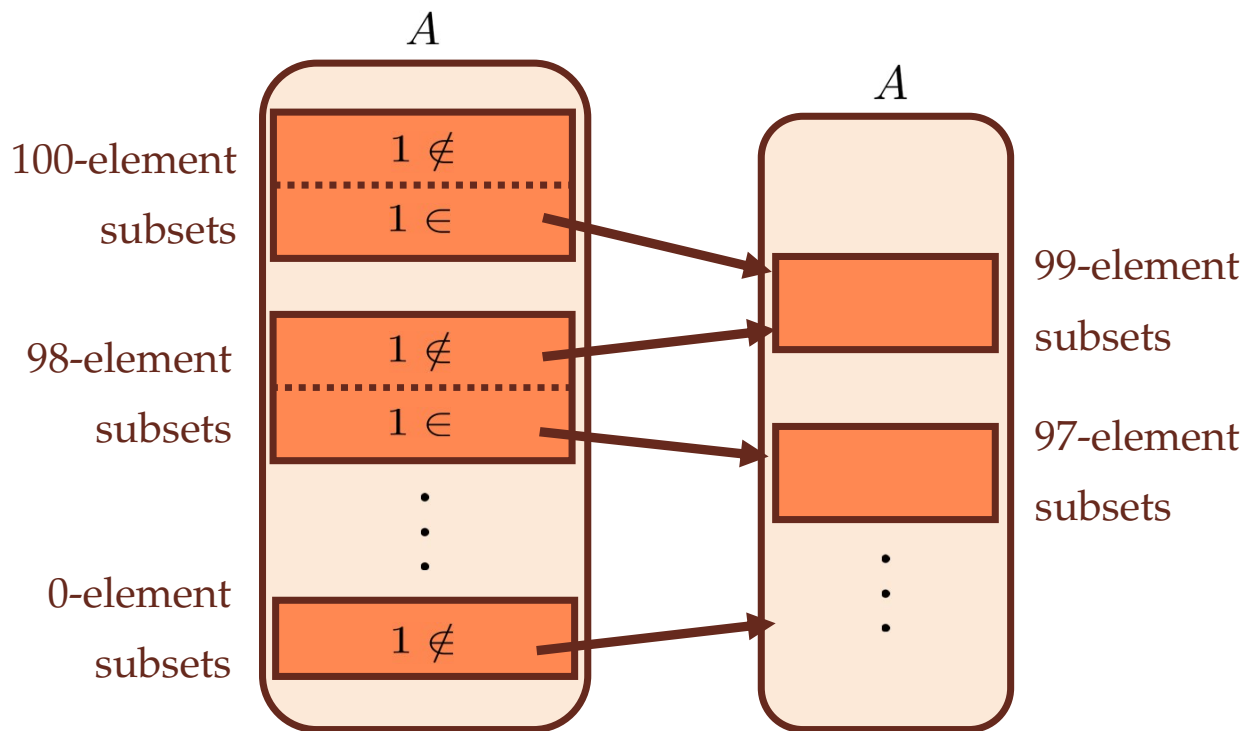
$$= \binom{5}{4} \leftarrow \text{Answer}$$



## General Case

We wish to evaluate:  $\binom{n}{0} - \binom{n}{1} + \binom{n}{2} - \binom{n}{3} + \cdots - \binom{n}{99} + \binom{n}{100}$ .

Let  $A$  be the set of all subsets of  $\{1, 2, 3, \dots, n\}$ . Consider the involution: flipping the first bit.



After cancellation, we are left with the number of 100-element subsets that does not contain 1.

So, the answer is  $\binom{n-1}{100}$ .





## What bijections enable us to do

Let  $A$  and  $B$  be two finite sets.

- If there is a bijection  $f : A \rightarrow B$ , then  $A$  and  $B$  have the same size.
- Via  $f$ , we can change our point of view on elements of  $A$  by thinking them as elements of  $B$ . This change in perspective sometimes clarify things up.
- If  $A = B$  and  $f$  is an involution, then  $f$  induces a pairing of elements in  $A$ . This allows us to do fancy arguments: pigeonhole on pairs, alternating sums, etc.

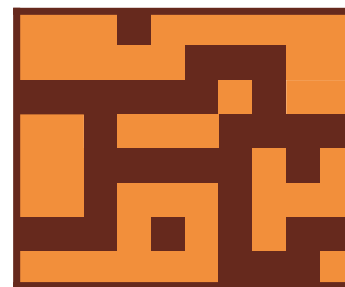
These are very useful  
if  $A$  and  $B$  are  
seemingly unrelated.



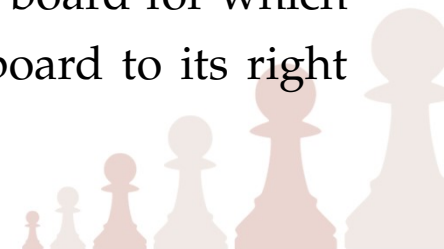


## Crossing the Board (IMOSL 2005/C3)

Consider an  $m \times n$  rectangular board consisting of  $mn$  unit squares. Two of its squares are called adjacent if they have a common edge, and a path is a sequence of unit squares in which any two consecutive squares are adjacent. Two paths are called non-intersecting if they do not share any common squares. A colouring of the board is an assignment of a colour: brown or orange to each of the  $mn$  unit squares.



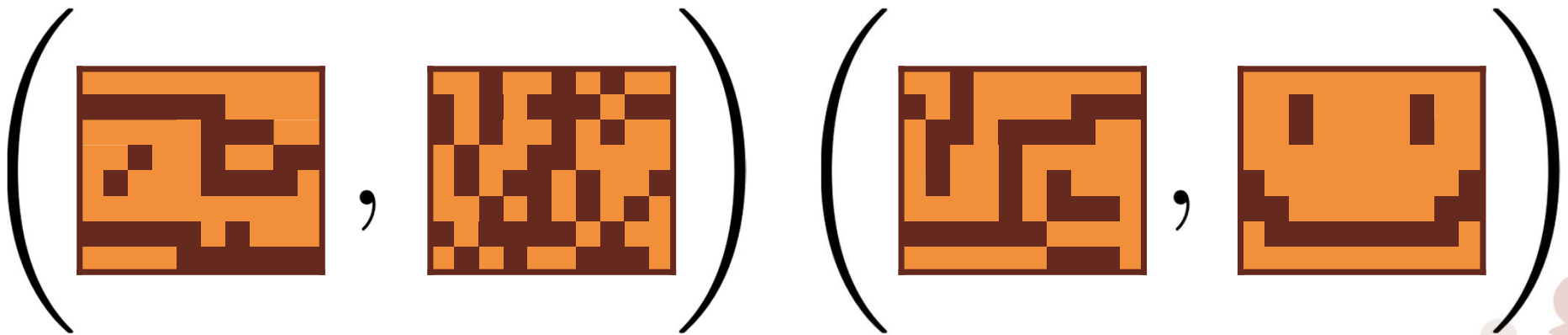
Let  $N$  be the number of colourings of the board such that there exists at least one brown path from left edge of the board to its right edge. Let  $M$  be the number of colourings of the board for which there exist at least two non-intersecting brown paths from the left edge of the board to its right edge. Prove that  $N^2 \geq M \cdot 2^{mn}$ .



## The Setup

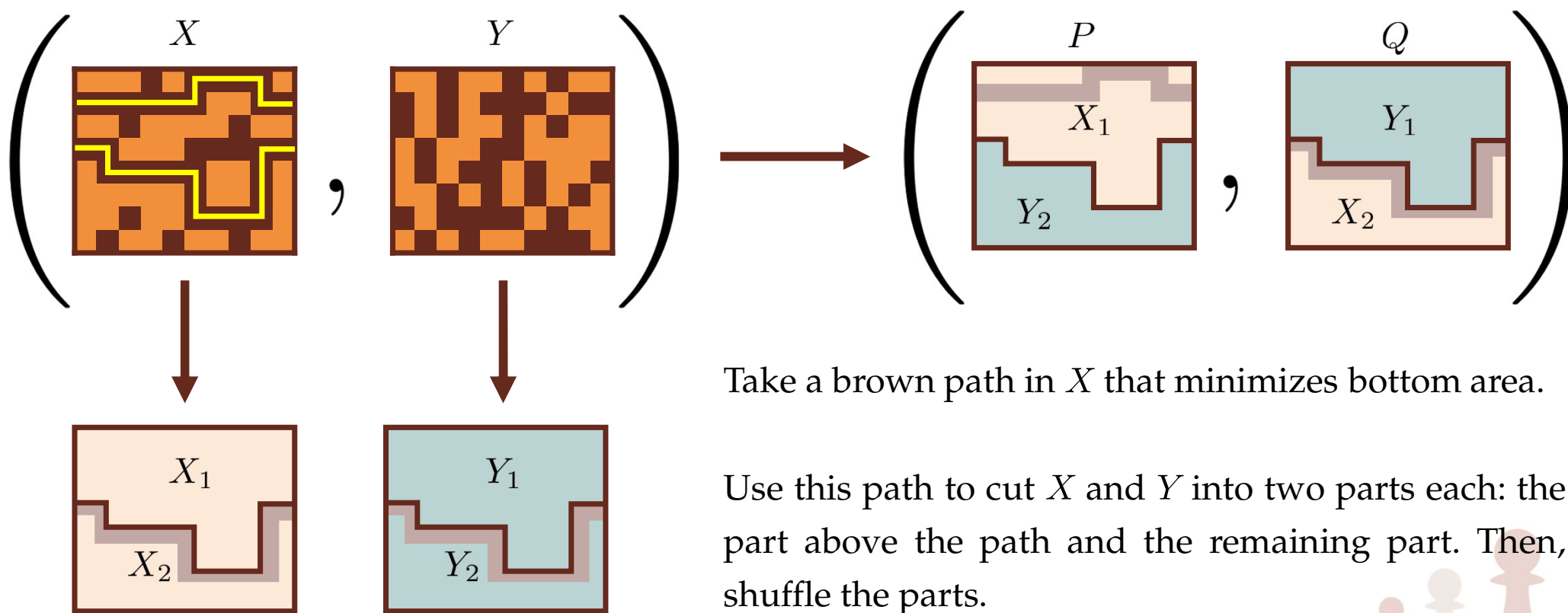
Construct two sets  $A$  and  $B$  with  $|A| = M \cdot 2^{mn}$  and  $|B| = N^2$ . Then, setup an injective map.

- $A$  = set of pairs of colourings  $X$  and  $Y$  where  $X$  has 2 disjoint L-to-R brown paths, and  $Y$  is an arbitrary colouring.
- $B$  = set of pairs of colourings  $P$  and  $Q$  where both  $P$  and  $Q$  have an L-to-R brown path.

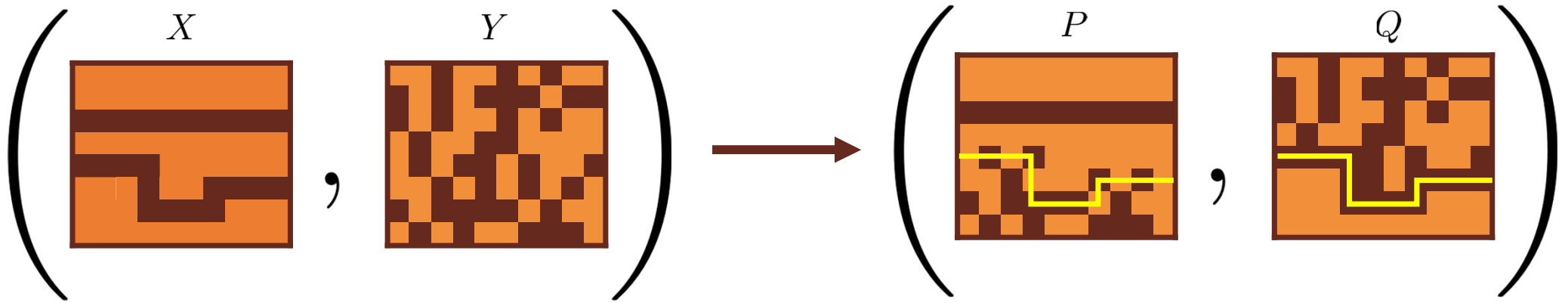


## Constructing the Function

We want the mapping to be injective. That is, we must be able to uniquely recover the input from a given output.



## Reconstructing the Input from the Image

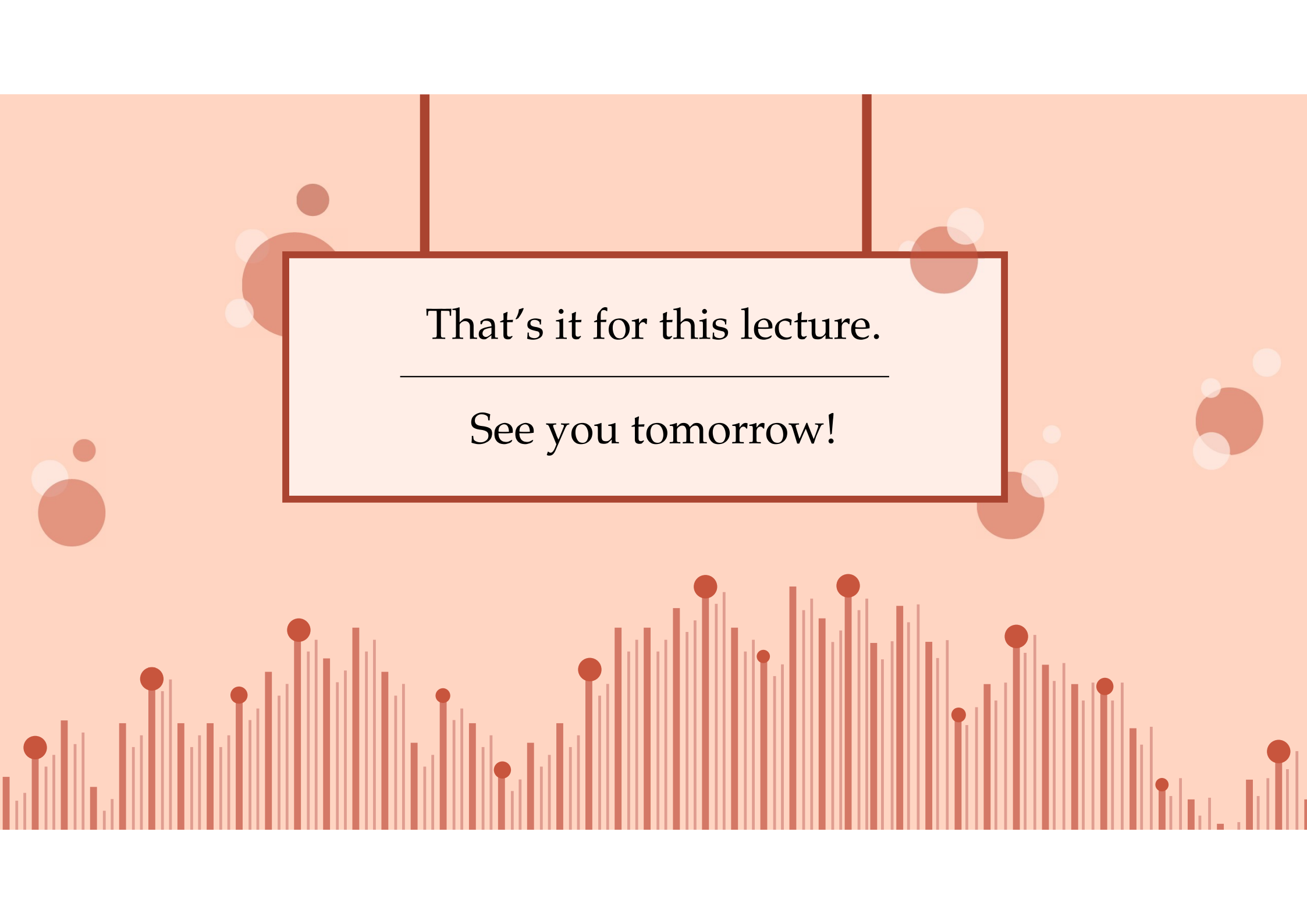


Given an output, look at the brown path in  $Q$  that minimizes the bottom area.

Now, you can cut  $P$  and  $Q$  into two parts each: the part above the path and the remaining part. Reshuffling recovers the input uniquely.

Therefore, there is only one pair  $(X, Y)$  such that  $f(X, Y) = (P, Q)$  i.e. our  $f$  is injective.





That's it for this lecture.

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See you tomorrow!